



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/542,883	12/27/2005	Frederick John Rowell	73551.000002	9715

21967 7590 06/27/2008

HUNTON & WILLIAMS LLP  
INTELLECTUAL PROPERTY DEPARTMENT  
1900 K STREET, N.W.  
SUITE 1200  
WASHINGTON, DC 20006-1109

EXAMINER
----------

DIRAMIO, JACQUELINE A

ART UNIT	PAPER NUMBER
----------	--------------

1641

MAIL DATE	DELIVERY MODE
-----------	---------------

06/27/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/542,883	<b>Applicant(s)</b> ROWELL, FREDERICK JOHN	
	<b>Examiner</b> Jacqueline DiRamio	<b>Art Unit</b> 1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 13 May 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3,5,6,9-18 and 21-54 is/are pending in the application.
- 4a) Of the above claim(s) 11-18 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,5,6,9,10,21-33 and 35-54 is/are rejected.
- 7) ☒ Claim(s) 34 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 09 July 2007 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>5/13/2008</u> .   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on April 1, 2008 has been entered.

### ***Status of the Claims***

Applicant's amendments to claims 1, 3, 5, 6, 9, 10, and 21 – 25 are acknowledged, as well as the cancellation of claim 4 and addition of new claims 26 – 54.

Currently, claims 1, 3, 5, 6, 9, 10 and 20 – 54 are pending and under examination. Claims 11 – 18 are acknowledged as withdrawn as drawn to a non-elected invention.

### ***Withdrawn Rejections***

All previous rejections of the claims under 35 U.S.C. 102 and 103 are withdrawn in view of Applicant's amendments and arguments filed April 1, 2008.

### ***Response to Arguments***

Applicant's arguments, see p9, filed April 1, 2008, with respect to the rejection(s) of the claim(s) under 35 U.S.C. 102(e) as being anticipated by Markovsky et al. (US 2003/0207442) have been fully considered and are persuasive. Applicant's argument that Markovsky alone, or in combination with Yu, does not teach each and every feature in amended claim 1 is found persuasive. In particular, Markovsky et al. fail to teach the formation of a "flowable component" that comprises said first bioagent when the strip is immersed in a buffer solution. Therefore, the rejections have been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Ching et al. (US 6,534,320).

### **NEW GROUNDS OF REJECTION**

#### ***Claim Objections***

Claims 1 and 6 are objected to because of the following informalities:

Claim 1, line 14, recites the term "discreet," which appears to be an incorrect spelling of the term "discrete."

Claim 6 recites the term "immobilised," which appears to be an incorrect spelling of the term "immobilized" used in claim 1.

Appropriate correction is required.

#### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

Art Unit: 1641

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1, line 19, recites the term "said planar surface," which lacks antecedent basis.

Claim 6 recites the term "the immobilised component," which is vague and indefinite because there are three immobilized components recited in claim 1 and therefore, it is unclear which "immobilised component" this claim is referring too.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 3, 5, 6, 9, 10, 21, 23 – 25, 36 – 45 and 54 are rejected under 35 U.S.C. 102(e) as being anticipated by Ching et al. (US 6,534,320).

Ching et al. teach an affinity-chromatography strip, having a longitudinal axis, said strip comprising:

(a) a first immobilized component comprising a labeled first reagent material (bio-reagent) and a meta-soluble protein (biopolymer);

(b) a second immobilized component comprising a second reagent material (bio-reagent); and

(c) optionally a third immobilized component comprising a third reagent material (bio-reagent); wherein said first and second immobilized components are spaced at a first distance along the longitudinal axis and said third immobilized component, when present, is spaced at a second distance along said longitudinal axis from said second immobilized component; and

wherein, in use, when the strip is immersed in a chromatographic solvent (buffer solution) optionally comprising an analyte (fourth bio-reagent), a flowable component is formed as a discrete volume over said first immobilized component wherein said flowable component:

- (i) comprises said first reagent material;
- (ii) is denser than the buffer solution;
- (iii) does not diffuse rapidly into the buffer solution; and
- (iv) slowly rolls over the planar surface of the strip along said

longitudinal axis in the direction of said second immobilized component comprising said second reagent material (see Figures 4a-4c; column 6, lines 51-64; column 7, lines 37-62; column 8, lines 60-67; column 9, lines 1-29; column 10, lines 18-62; column 11, lines 13-45; column 15, lines 47-64; column 16, lines 5-67; column 17, lines 1-24; and column 21, lines 14-32).

With respect to Applicant's claims 3, 9, 23, 24, 37, 40, 41, and 43, the labeled first reagent and the second reagent material can comprise antigens and/or antibodies (see column 24, lines 21-38; and Examples 3-6).

With respect to Applicant's claim 5, the flowable component can include a buffer of optimal pH and a detergent (see column 11, lines 30-54; and column 21, lines 14-32).

With respect to Applicant's claim 6, the immobilized component is attracted to the flowable component via the interaction of specific binding (see column 24, lines 21-38; and Examples 3-6).

With respect to Applicant's claims 10, 25, 38, 42, 44, 45, and 54, the label can be colloidal particle or an enzyme-label (see column 11, lines 26-30; and column 25, lines 9-13).

With respect to Applicant's claim 21, the first, second, or third immobilized components can comprise a membrane (see Figures 4a-4c; and column 11, lines 33-41).

With respect to Applicant's claim 36, the analyte (fourth bio-reagent) can comprise an unlabeled antigen (see Example 3-6).

With respect to Applicant's claim 39, the strip discussed above can be included within a kit (see column 10, lines 18-28).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 1641

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ching et al. (US 6,534,320) in view of Yu (US 6,723,500).

The Ching et al. reference, which was discussed in the 102(e) rejection above, teaches that the membrane is wettable, but fails to teach that the membrane is also hydrophobic.

Yu teaches test strips containing a plurality of reaction zones that are defined by a hydrophobic barrier. A hydrophobic composition is utilized to separate the hydrophilic reaction zones contained on the matrix (membrane) of the test strip, in order to create a barrier between each of the reaction zones (see column 10, lines 34-65). The reaction zones contain various compositions to test for one or more analytes. In some embodiments, the test reagents are the same in the reaction zones in order to create a multi-use test strip. In other embodiments, the test reagents are different in the reaction zones to assay for a panel or plurality of different analytes (see column 8, lines 28-40).



Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize a membrane that is both hydrophobic and wettable (hydrophilic) as the membrane in the test strip of Ching et al. as taught by Yu because Yu teaches the benefit of using a hydrophobic composition on a membrane in order to create a barrier between a plurality of hydrophilic (wetable) reaction zones that each contain a composition to test for one or more analytes of interest.

Claims 26, 35, and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ching et al. (US 6,534,320) in view of Markovsky et al. (US 2003/0207442).

Ching et al. further fail to teach that said second and third reagent materials (bio-agents) comprise first and second antibodies that specifically bind to a common antigen.

Markovsky et al. teach a test device for detecting the presence of an analyte in a sample, wherein the device includes a sample-absorbing matrix, a support strip, a mobile-phase composition comprising a labeled receptor for the analyte, a test zone comprising a first binder for binding unbound receptor, and a control zone comprising a second binder for binding analyte-bound receptor or residual unbound receptor. By using similar binders, such as antibodies, in both the test and control zones, which can bind to the same antigen, the color or detection signals created in the control and test zones can be compared in order to determine the level of analyte present in the test sample (see Abstract; and paragraphs [0007], [0008], [0010], [0041], [0064] and [0066]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include with the device of Ching et al. the use of similar antibody binders as the second and third reagents as taught by Markovsky et al. because Markovsky et al. teach the benefit of using similar binders, such as antibodies, in both a test and control zone, which can bind to the same antigen, because the color or detection signals created in the control and test zones can be compared in order to determine the level of analyte present in the test sample.

Claims 27 – 31 and 47 – 51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ching et al. (US 6,534,320) in view of Markovsky et al. (US 2003/0207442), as applied to claim 26 above, and further in view of Lee et al. (US 7,329,738).

The Ching et al. and Markovsky et al. references fail to teach that the reagents or binders are enzyme labeled antibodies, wherein the first reagent comprises a substrate, in the form of BCIP-NBT and the enzyme comprises alkaline phosphatase.

Lee et al. teach assay methods for detecting and binding to specific surface array proteins of a target analyte. The methods comprise contacting a test sample with a detection reagent, which will bind to the target analyte, wherein the detection reagent preferably comprises an antibody that recognizes the target analyte linked to an enzyme. The antibody is detected when the enzyme reacts with its substrate, producing a detectable product. Preferred enzymes include alkaline phosphatase, whose substrate comprises BCIP-NBT. The use of enzyme labels linked to antibodies

Art Unit: 1641

as the detection reagents allows for the conduction of assay methods outside the laboratory, wherein the labels are readily detectable through visual inspection without the necessity of sophisticated instrumentation (see Abstract; column 2, lines 24-33; and column 12, lines 39-59).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include with the device of Ching et al. and Markovsky et al. the labeling of the third reagent material with an enzyme, such as alkaline phosphatase, wherein the first reagent material comprises a substrate in the form of BCIP-NBT, as taught by Lee et al. because Lee et al. teach the benefit of utilizing enzyme-labeled antibodies as detection reagents in assays in order to allow for the conduction of assay methods outside the laboratory, wherein the labels are readily detectable through visual inspection without the necessity of sophisticated instrumentation. In addition, alkaline phosphatase in combination with the substrate BCIP-NBT comprises an exemplary enzyme labeling system for use in assays for the detection of and binding to target analytes.

Claims 32 and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ching et al. (US 6,534,320) in view of Markovsky et al. (US 2003/0207442), as applied to claim 26 above, and further in view of Miller et al. (US 5,731,157).

The Ching et al. and Markovsky et al. references further fail to teach that the antibodies are specific for savinase.

Miller et al. teach an immunoassay method for detecting specific allergens in test samples. The allergens detected comprise enzymes, such as savinase, which represent airborne allergens that elicit allergic reactions in some individuals after exposure to these enzymes. The enzyme allergens can be detected by utilizing labeled antibodies which specifically bind to the enzyme allergens (see Abstract; Figure 4c; column 1, lines 5-25; column 3, lines 30-35 and lines 62-67; and column 5, lines 21-30).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include with the device of Ching et al. and Markovsky et al. antibodies specific for savinase as taught by Miller et al. because Miller et al. teach the importance of immunoassay methods which utilize antibodies which bind to specific enzyme allergens, such as savinase, in order to detect various airborne allergens that elicit allergic reactions in some individuals after exposure to these enzymes.

Claims 33 and 53 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ching et al. (US 6,534,320) in view of Markovsky et al. (US 2003/0207442), as applied to claim 26 above, and further in view of Hubscher et al. (US 2002/0024195).

Ching et al. and Markovsky et al. fail to teach that the meta-soluble protein (biopolymer) comprises dextran or dextran blue.

Hubscher et al. teach a method and lateral flow device for detecting the presence of various analytes. The lateral flow device comprises a membrane with a sample site, gold particles for binding to and labeling the analyte(s) of interest, and a plurality of binding sites for each binding one of a plurality of specific analytes. The binding sites

Art Unit: 1641

comprise an allergen, which is immobilized through the use of a solubilizing agent, such as a sugar or alcohol, including dextran. The use of sugars or alcohols unfold the allergen protein structure such that more domains are exposed allowing for greater binding to the membrane (see Abstract; and paragraphs [0037], [0038], [0044], [0053], [0054], and [0059]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include with the device of Ching et al. and Markovsky et al. the use of dextran with the reagent material(s) as taught by Hubscher et al. because Hubscher et al. teach the benefit of using a sugar or alcohol, such as dextran, as a solubilizing agent when immobilizing protein components onto a membrane device because the sugars or alcohols unfold the protein structure such that more domains are exposed allowing for greater binding to the membrane.

### ***Allowable Subject Matter***

Claim 34 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### ***Conclusion***

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jacqueline DiRamio whose telephone number is 571-272-8785. The examiner can normally be reached on M-F 9-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on 571-272-0823. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jacqueline DiRamio/  
Examiner, Art Unit 1641

/Long V Le/  
Supervisory Patent Examiner, Art Unit 1641